

# Risk Allocation Statement Questionnaire

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Name (first, middle initial, last)

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Phone Number

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<b>Are Not FDIC Insured</b>	<b>Are Not Bank Guaranteed</b>	<b>May Lose Value</b>
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# Assets and Liabilities

Please indicate below the assets and liabilities to be included in the Risk Allocation Statement report. These should include assets held both within and outside Merrill accounts. Use the Additional Information section at the end of this document to include any additional information about your assets and liabilities.

## Personal Assets

Fill this section with assets that are part of your regular lifestyle. Examples include your primary and other residences, savings and checking accounts, Treasury bills, cash set aside for emergencies, and the cash value of your insurances.

Description	Type of Asset	Value (\$)	Remarks/Purpose
	Residence		
	Cash		
	Lifestyle Items		
	Cash Value of Insurance		
	Fixed Immediate Annuity		

## Liabilities

Enter your liabilities, including mortgages on properties, loans against your securities, outstanding margin, and other personal liabilities.

Description/Type	Balance (\$)	Interest Rate (%)	Fixed or Floating	Monthly Payment (\$)	Remarks/Purpose
Fixed-Rate Mortgage					
Adjustable-Rate Mortgage					
Margin Balance					
Secured Loans					
Unsecured Loans					
Outstanding Credit Balances					
Nonrecourse Loans					

# Assets and Liabilities (continued)

## Diversified investment assets

Enter information about your diversified investment assets. Do not enter in this section any large single-stock positions and very aggressive risk/reward assets. These assets should be entered in the Other assets section.

Asset Class	Sub-Asset Class	Value (\$)	Remarks/Purpose
<b>Equities</b>			
	Large Cap Growth		
	Large Cap Value		
	Mid Cap Growth		
	Mid Cap Value		
	Small Cap Growth		
	Small Cap Value		
	Large Cap		
	Mid Cap		
	Small Cap		
	<b>U.S. Equities Total</b>		
	Developed Market Equities		
	Emerging Market Equities		
	<b>Non-U.S. Equities Total</b>		
	<b>Total Equities</b>		
<b>Fixed Income</b>			
	U.S. Govt. Bonds		
	U.S. Corp. Bonds		
	Short-Term U.S. Fixed Income		
	Inter.-Term U.S. Fixed Income		
	Long-Term U.S. Fixed Income		
	High-Yield Bonds		
	Short-Term Municipal Bonds		
	Inter.-Term Municipal Bonds		
	Long-Term Municipal Bonds		
	Long-Term Municipal Bonds		
	TIPS		
	<b>Domestic Fixed Income Total</b>		
	Developed Market Debt		
	Emerging Market Debt		
	<b>International Fixed Income Total</b>		
	<b>Total Fixed Income</b>		
<b>Cash</b>			
	Reserved for Investing		
	Cash Balance		
	<b>Total Cash</b>		

# Assets and Liabilities (continued)

Description	Type of Asset	Value (\$)	Remarks/Purpose
<b>Diversified Alternative Investments</b>			
	Hedge Funds		
	Managed Futures		
	Real Estate		
	Private Equity		
	Commodities		
	Structured Products		
	<b>Diversified Alternative Investments Total</b>		
	<b>Diversified Investment Assets Total</b>		

## Other Assets

Enter any single-stock positions, executive stock options, and the value of your family businesses. Include assets such as direct private equity funds, and investment real estate properties, private art collections, antiques.

Description/Type	Type of Asset	Value (\$)	Remarks/Purpose
	Concentrated Stock		
	Executive Stock Options		
	Private Business		
	Investment Real Estate		
	Derivative Contracts		
	Zero Cost Collar		
	Prepaid Forward		
	Protective Put/Covered Calls		
	Others		



## Additional Information

Please include any additional information regarding your financial situation or goals that you feel may be important when discussing your Risk Allocation Statement.

This information should not be construed as investment advice. It is presented for information purposes only and is not intended to be either a specific offer by any Merrill Lynch entity to sell or provide, or a specific invitation for a consumer to apply for, any particular retail financial product or service that may be available through the Merrill Lynch family of companies.

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